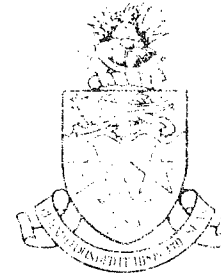


CAYMAN ISLANDS



Supplement No. 2 printed with Gazette No. 18 of 1985

**COMPANIES MANAGEMENT LAW, 1984
(LAW 12 OF 1984)**

**THE COMPANIES MANAGEMENT
(FORMS) REGULATIONS, 1985**

**COMPANIES MANAGEMENT LAW, 1984
(LAW 12 OF 1984)
THE COMPANIES MANAGEMENT (FORMS)
REGULATIONS, 1985**

IN exercise of the powers conferred on the Governor in Council by section 17 of the Companies Management Law, 1984, the following Regulations are hereby made -

Citation.

1. These Regulations may be cited as the Companies Management (Forms) Regulations, 1985.

Form of application.

2. An application for a licence under subsections (2) or (3) of section 4 shall be made in the form set out in the First Schedule.

Form of licence.

3. A licence granted under subsection (5) of section 4 shall be in the form set out in the Second Schedule.

THE FIRST SCHEDULE

THE COMPANIES MANAGEMENT LAW, 1984
(LAW 12 OF 1984)
SCHEDULE 1
SECTION 4(5)

Date:

Ref:

The Inspector of Company Managers,
c/o Registrar of Companies,
Government Admin. Bldg.,
George Town,
Grand Cayman.

Dear Sir,

Re:

We attach our application under Section 4(5) and Schedule 1, of The Companies Management Law, 1984, for the issuance of a company managers licence in the name of the above registered company.

The prescribed licence fee of CI\$500.00, is enclosed herewith, has been previously paid.**

Yours sincerely,

**Delete that which does not apply

b). Private Address

c). Previous private address during the last ten, (10), years, (with relevant dates.)

d). Date and Place of Birth including town, state and country.

e). Nationality and how it was acquired, e.g. Birth, Naturalisation, Marriage.

f). Have you at any time been convicted of any offence (other than (a) an offence committed when you were under the age of 18 years unless the same was committed within the last ten years, or (b) an offence in connection with the use or ownership of a motor vehicle which was tried in a court of summary jurisdiction) by any court, whether civil or military, in any jurisdiction? If so, give full particulars of the court by which you were convicted, the offence and the penalty imposed and the date of the conviction. Attach police clearance certificate.

g). Have you, anywhere, been censured, disciplined or criticised by any professional body to which you belong or belonged or have you ever held a practising certificate subject to conditions? If so, give full particulars.

h). Have you, or any body corporated, partnership or unincorporated institution with which you are, or have been associated as a director, shareholder or controller, been the subject of an investigation, anywhere, by a governmental, professional or other regulatory body? If so, give full particulars.

i). Have you, anywhere, been dismissed from any office or employment or barred from entry to any profession or occupation? If so, give full particulars.

j). Have you been adjudicated bankrupt by a court in any jurisdiction? If so, give full particulars.

k). Have you failed to satisfy any debt adjudged due and payable by you as a judgement-debtor under an order of a court in any jurisdiction? If so, give full particulars.

l). Have you, in connection with the formation, control or management of any body corporate, partnership or unincorporated institution within the last five, (5), years, been adjudged by a court, in any jurisdiction, civilly liable for any fraud, misfeasance or other misconduct by you towards such a body or company or towards any members thereof? If so, give full particulars.

m). Has any body corporate, partnership or unincorporated institution with which you were associated as a director, shareholder or controller, anywhere, been compulsorily wound up or made any compromise or arrangement with its creditors or ceased trading in circumstances where its creditors did not receive or have not yet received full settlement of their claims, either while you were associated with it or within one year after you ceased to be associated with it? If so, give full particulars.

CAYMAN ISLANDS
THE COMPANIES MANAGEMENT LAW, 1984
(LAW 12 OF 1984)
SCHEDULE 1
SECTION 4(5)

APPLICATION FOR A COMPANY MANAGERS LICENCE UNDER SECTION 4

- 1). Name of Applicant or Applicant Company
b). Address of Principal or Registered Office
c).

DIRECTORS

NAMES

ADDRESSES

- d). Specialist Professional or Technical Advisers

NAMES

ADDRESSES

- 2). Where Principal or Registered Office is situated outside the Cayman Islands, please supply following information concerning authorised officer in the Islands:

NAME

ADDRESSES IN THE CAYMAN ISLANDS

ALTERNATE AUTHORISED OFFICER

NAME

ADDRESS IN THE CAYMAN ISLANDS

- 3). Evidence of incorporation

Certificate of Incorporation Attached

- 4). Type in or attach evidence in writing of experience in Company Management of applicant and those directly or indirectly connected therewith.

- b). Present occupation or employment and occupations, and employment during the last ten, (10) years, including the name of the employer, the nature of the business, the position held and relevant dates.

c). Professional and other qualifications and year in which they were obtained.

5). Evidence of paid up capital of limited liability company as required by Section 4(4).

OR

b). Evidence of guarantee of such sum given by a holding or parent company.

6). Character references of Applicant/Director/Partners/Managers/Controllers or Officers:

SURNAME

FORENAME(S)

ANY PREVIOUS NAME(S)
BY WHICH
YOU HAVE BEEN KNOWN

7.) Annual Accounts for last two, (2), years attached?

PLEASE TICK
YES NO
☐ ☐

8).

AUDITORS

NAME ADDRESS PROFESSIONAL QUALIFICATIONS

9).

SHAREHOLDERS

NAME ADDRESS NATIONALITY

10).

SUBSIDIARIES

NAME ADDRESS OF REGISTERED OFFICE

11). Evidence of Incorporation or Partnership Agreement attached?

PLEASE TICK

COMP.

☐

PARTNR.

☐

12). References for Directors/Partners/Managers/Controllers or Officers - Attach.

- (a) Two References from Principal Bankers or Trust Company.
- (b) One personal reference from readily identifiable source.
- (c) Reference where applicant is a company.
A local bankers reference through which applicant company places its clients' business.
- (d) Specific authorisation to be given to bank manager to talk directly to Inspector.
Please attach copy of authorisation.
- (e) Where applicant is involved in business activities which are subject to regulation in another jurisdiction, please attach confirmation of good standing from relevant regulatory authority.

13). Attach or type in below, statement of Assets and Liabilities at end certified by a Director or Senior Officer for month prior to submission of application.

14). Attach or type in below statement of capital of any other company, subsidiary or nominee held as an asset of applicant.

15). EVIDENCE OF INSURANCE

(a) Letter from Financial Secretary approving Insurance coverage attached -

PLEASE TICK

YES NO

☐ ☐

(b) Proof of Insurance coverage attached -

PLEASE TICK

YES NO N/A

☐ ☐ ☐

16). CERTIFICATE OF COMPLIANCE SECTION 9(1)(c)

(a) Details of Business Plan for companies already in existence please supply:

- (i) Current range of services and activities
- (ii) Short-term plans for extending current services
- (iii) Number of clients in each area of activity
- (iv) Summary of client base including geographical origin and means by which clients are attracted, advertising, referrals, etc. If by advertisement, copies of relevant material should be submitted.
- (v) Total assets under administration
- (vi) Management structure and responsibilities

(b) FOR NEW COMPANIES

- (i) Overall objectives and reasons
- (ii) Proposed services
- (iii) Proposed client base-origin, means of attraction
- (iv) Projected volume of business within first two, (2) years
- (v) Management structure and responsibilities

I CERTIFY that the above information is complete and correct to the best of my knowledge and belief and I undertake that, as long as I continue to be a Director, Shareholder, Manager or Controller of an entity authorised under The Companies Management Law, I will notify the Inspector of Company Managers of any material changes affecting the completeness of the answers provided herein within twenty-one, (21), days of such occurrence.

Dated this day of

19

Signed: _____

THE SECOND SCHEDULE
THE COMPANIES MANAGEMENT LAW, 1984
(LAW 12 OF 1984)
(CAYMAN ISLANDS CREST)

LICENCE

No

.....
is hereby licensed under the Companies Management Law, 1984, to carry on the business of Company Management Law, 1984, in or within the Cayman Islands under Section 4(5) of the Law, subject to the provisions of the said Law, and, in particular, to the condition that the Licensee shall forthwith notify the Governor of any charge in the information supplied in the application for this Licence.

Given this
day of 19
at George Town, on the island of Grand Cayman.

for Governor in Council

Made in Council this 6th day of August, 1985.

(Price \$1.60)

JENNY MANDERSON
Clerk of the Executive Council